

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

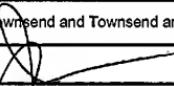
Total Number of Pages in This Submission

Application Number	10/787,404
Filing Date	February 24, 2004
First Named Inventor	FRANTZEN, JOHN J.
Art Unit	3734
Examiner Name	TRUONG, KEVIN THAO
Attorney Docket Number	025925-000110US

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08A & PTO/SB/08B 2 Reference Copies
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	James M. Heslin		
Date	July 1, 2006	Reg. No.	29,541

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being filed via EFS-Web with the United States Patent and Trademark Office on the date shown below.

Signature	
Typed or printed name	Tiffany Wu
Date	July 12, 2006

I hereby certify that this correspondence is being filed via  
EFS-Web with the United States Patent and Trademark Office  
on 7/12/06

TOWNSEND and TOWNSEND and CREW LLP

By:

  
Tiffany Wu

**PATENT**  
Attorney Docket No.: 025925-000110US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JOHN J. FRANTZEN

Application No.: 10/787,404

Filed: February 24, 2004

For: VASCULAR STENT-GRAFT  
APPARATUS

Confirmation No.: 4522

Examiner: TRUONG, KEVIN THAO

Art Unit: 3734

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

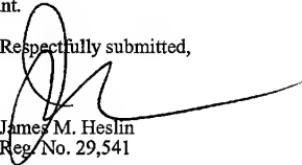
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.  
However, if a fee is required, the Commissioner is authorized to deduct such fee from the  
undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit  
any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
James M. Heslin  
Reg. No. 29,541

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50818151 v1

Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/787,404
(Use as many sheets as necessary)				Filing Date	February 24, 2004
				First Named Inventor	FRANTZEN, JOHN J.
				Art Unit	3734
				Examiner Name	TRUONG, KEVIN THAO
Sheet	1	of	3	Attorney Docket Number	025925-000110US

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No.*	Document Number Number Kind Code <sup>2</sup> (Name)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,641,653	02-10-1987	Rockey	
	2	4,728,326	03-01-1988	Hughes et al.	
	3	5,330,528	07-19-1994	Lazim	
	4	5,534,024	07-09-1996	Rogers et al.	
	5	5,665,117	09-09-1999	Rhodes	
	6	5,769,662	06-23-1998	Fogarty et al.	
	7	5,824,037	10-20-1998	Fogarty et al.	
	8	5,843,160	12-01-1998	Rhodes	
	9	5,876,448	03-02-1999	Thompson et al.	
	10	6,110,196	08-29-2000	Fogarty et al.	
	11	6,168,592	01-02-2001	Kupiecki et al.	
	12	6,190,402	02-20-2001	Horton et al.	
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	14	6,196,230	03-06-2001	Hall et al.	
	15	6,261,305	07-17-2001	Marotta et al.	
	16	6,263,991	09-04-2001	Cox et al.	
	17	6,296,603	10-02-2001	Turnlund et al.	
	18	6,299,597	10-09-2001	Buscemi et al.	
	19	6,312,482	11-06-2001	McDermott et al.	
	20	6,312,463	11-06-2001	Rourke et al.	
	21	6,331,184	12-16-2001	Abrams	
	22	6,334,869	01-01-2002	Leonhardt et al.	
	23	6,409,757	06-25-2002	Trout, III et al.	
	24	6,463,317	10-08-2002	Kucharczyk et al.	
	25	6,506,204	01-14-2003	Mazzocchi	
	26	6,544,276	04-06-2003	Azizi	
	27	6,592,614	07-15-2003	Lenker et al.	
	28	6,613,037	09-02-2003	Khosravi et al.	
	29	6,656,214	12-02-2003	Fogarty et al.	
	30	6,663,607	12-16-2003	Slalker et al.	
	31	6,663,667	12-16-2003	Dehdashtian et al.	
	32	6,730,119	05-04-2004	Smalling	
	33	6,827,735	12-07-2004	Greenberg	
	34	6,843,803	01-18-2005	Ryan et al.	
	35	6,918,926	07-19-2005	Letort	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60818151 v1

Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/787,404
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Sheet	2	of	3		

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No.*	Document Number Number Kind Code <sup>2</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	36	6,980,227	11-01-2005	Jones et al.	
	37	2001/0020184	09-06-2001	Dehdashtian et al.	
	38	2002/0019665	02-14-2002	Dehdashtian et al.	
	39	2002/0026217	02-28-2002	Baker et al.	
	40	2002/0045848	04-18-2002	Jaafer et al.	
	41	2002/0052643	05-02-2002	Wholey et al.	
	42	2003/0014075	01-16-2003	Rosenbluth et al.	
	43	2003/0051735	03-20-2003	Pavcnik et al.	
	44	2003/0130725	07-10-2003	DePalma et al.	
	45	2003/0135269	07-17-2003	Swanstrom	
	46	2003/0204242	10-30-2003	Zarins et al.	
	47	2003/0204249	10-30-2003	Letort	
	48	2003/0216802	11-20-2003	Chobotov et al.	
	49	2004/0016987	01-29-2004	Ushio	
	50	2004/0044358	03-04-2004	Khosravi et al.	
	51	2004/0082989	04-29-2004	Cook et al.	
	52	2004/0098096	05-20-2004	Eton	
	53	2004/0204755	10-14-2004	Robin	
	54	2005/0004660	01-06-2005	Rosenbluth et al.	
	55	2005/0028484	02-10-2005	Littlewood	
	56	2005/0065592	03-24-2005	Holzer	
	57	2006/0025853	02-02-2006	Evans et al.	

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No.*	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If Known)	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	58	WO	01/21108		03-29-2001
	59	WO	02/102282		12-27-2002

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60818151 v1

Substitute for form 1449B/PTO				<b>Complete If Known</b>	
				<i>Application Number</i>	10/787,404
				<i>Filing Date</i>	February 24, 2004
				<i>First Named Inventor</i>	FRANTZEN, JOHN J.
				<i>Art Unit</i>	3734
				<i>Examiner Name</i>	TRUONG, KEVIN THAO
Sheet	3	of	3	<i>Attorney Docket Number</i>	025925-000110US

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
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